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# THE FIRST-ORDER DELTA-SIGMA MODULATOR

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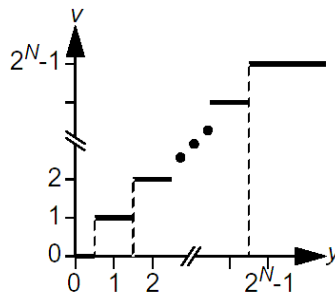
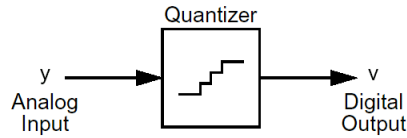
## Outline

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- Quantizers and quantization noise
- Binary quantization
- MOD1 as an ADC
- MOD1 as a DAC
- MOD1 linear model
- Simulation of MOD1
- MOD1 under DC excitation
- The effects of finite op-amp gain
- Decimation filters for MOD1

## Quantizers and Quantization Noise (1)

- Unipolar N-bit quantizer:



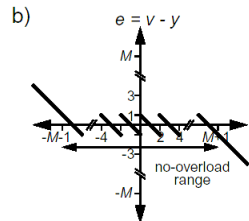
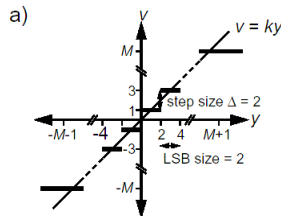
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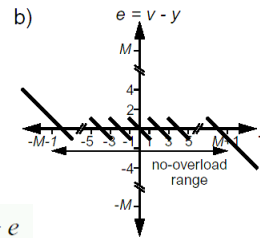
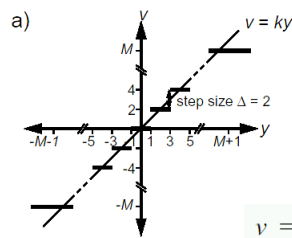
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## Quantizers and Quantization Noise (2)

- M-step mid-rise quantizer:



- M-step mid-tread quantizer:



$$v = ky + e$$

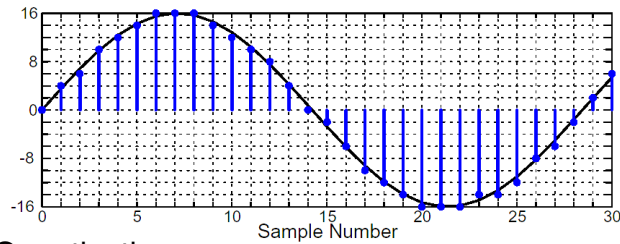
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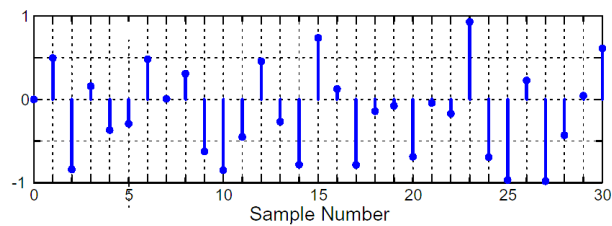
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## Quantizers and Quantization Noise (3)

- Sampled signal:



- Quantization error:



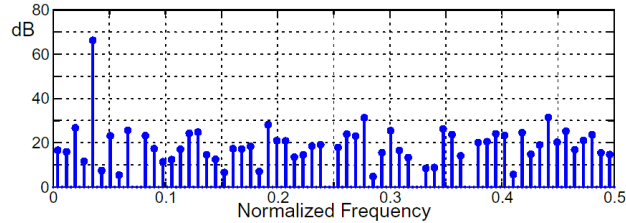
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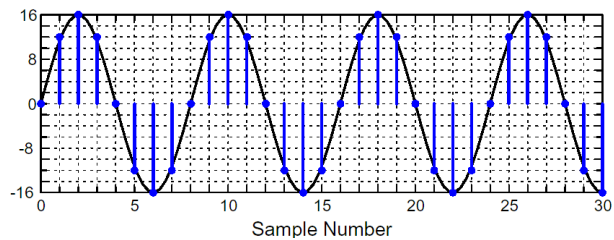
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## Quantizers and Quantization Noise (4)

- FFT:



- Sampled signal ( $f = f_s/8$ ):



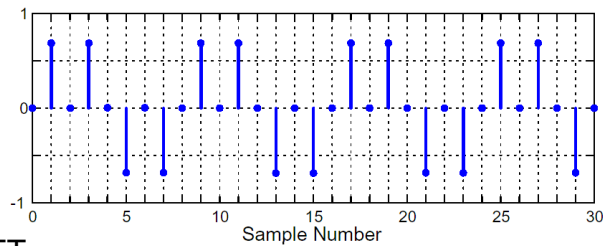
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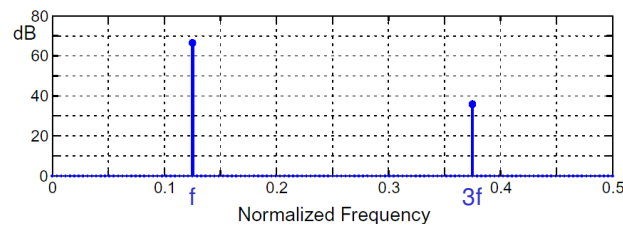
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## Binary Quantization (1)

- Quantization error:



- FFT:



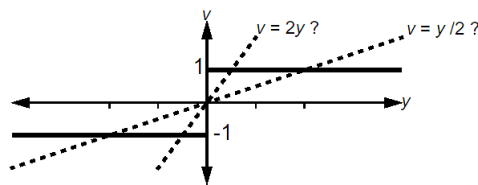
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## Binary Quantization (2)

- Modeling the gain:



- Minimize mean square error of  $e$ : 
$$\sigma_e^2 = \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=0}^N e(n)^2$$

$$\langle a, b \rangle \triangleq \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=0}^N a(n)b(n) = E[ab]$$

$$\begin{aligned} \sigma_e^2 &= \langle e, e \rangle \\ &= \langle v - ky, v - ky \rangle \\ &= \langle v, v \rangle - 2k \langle v, y \rangle + k^2 \langle y, y \rangle \end{aligned} \quad \text{opt.: } k = \frac{\langle v, y \rangle}{\langle y, y \rangle} = \frac{E[vy]}{E[y^2]}$$

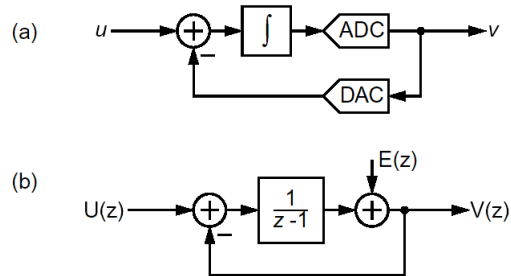
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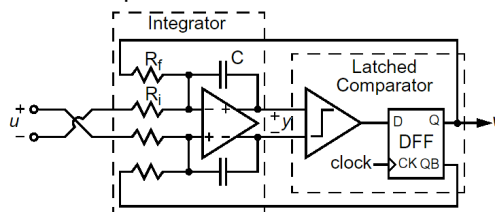
## MOD1 as an ADC (1)

- Linear modeling:

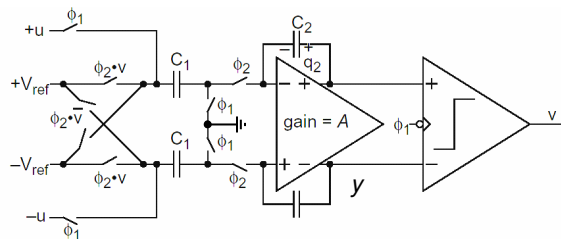


## MOD1 as an ADC (2)

- Continuous-time implementation:

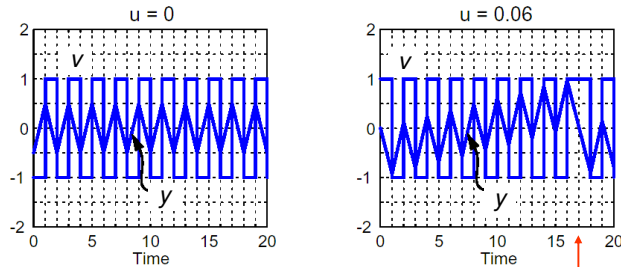


- Discrete-time switched-capacitor implementation:

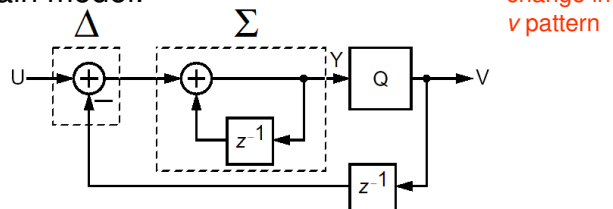


## MOD1 as an ADC (3)

- Continuous-time waveforms:



- Z-domain model:



## MOD1 as an ADC (4)

- Stable operation:

$$v(n) = \text{sgn}[y(n)].$$

$$y(n) = y(n-1) + u(n) - v(n-1)$$

$$y(N) - y(0) = \sum_{n=0}^{N-1} [u(n) - v(n-1)].$$

If  $y(n)$  is bounded,

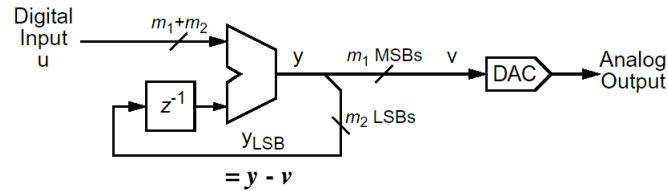
$$\lim_{N \rightarrow \infty} \frac{y(N) - y(0)}{N} = 0$$

$$\bar{u} = \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=0}^{N-1} u(n) = \bar{v} \quad !$$

Perfectly accurate for  $N \rightarrow \infty$ .

## MOD1 as a DAC

- Error feedback structure: → recycled error!



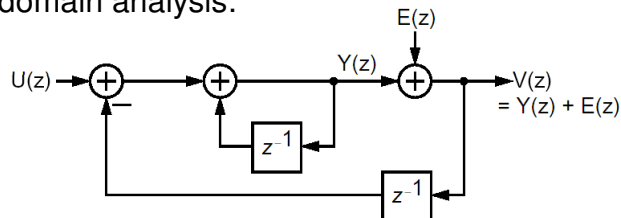
$$y(n) = u(n) + y_{LSB}(n-1)$$

$$y(n) = u(n) + y(n-1) - v(n-1)$$

Same as for  $\Delta\Sigma$  loop → another option for DAC.  
(For ADC, impractical!)

## MOD1 Linear Model (1)

- Z-domain analysis:



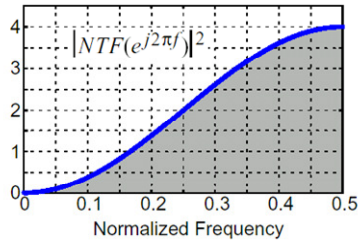
$$Y(z) = z^{-1}Y(z) + U(z) - z^{-1}V(z)$$

$$\begin{aligned} V(z) &= Y(z) + E(z) = z^{-1}Y(z) + U(z) - z^{-1}V(z) + E(z) \\ &= U(z) + E(z) - z^{-1}(V(z) - Y(z)) \\ &= U(z) + E(z) - z^{-1}E(z) \\ &= U(z) + (1 - z^{-1})E(z). \end{aligned}$$

$$V(z) = STF(z)U(z) + NTF(z)E(z)$$

## MOD1 Linear Model (2)

- Frequency-domain analysis:  $|NTF(e^{j2\pi f})|^2 = [2 \sin(\pi f)]^2$



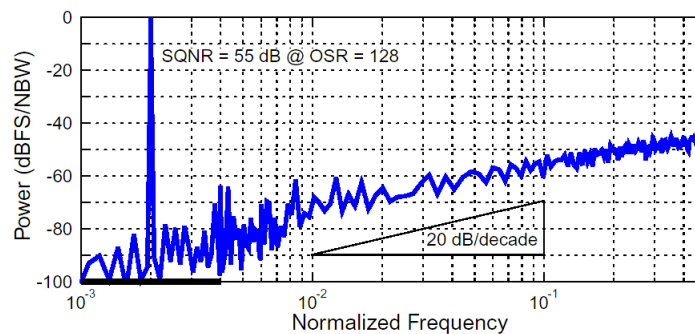
Mean square of  $q_0$ :  $\sigma_{q_0}^2 = \int_0^{1/(2 \cdot OSR)} [2\pi f]^2 S_e(f) df = \frac{\pi^2}{9(OSR)^3}$  (for  $OSR \gg 1$ )  
 (inband shaped quant. noise)

$SQNR = \frac{\sigma_u^2}{\sigma_{q_0}^2} = \frac{9M^2(OSR)^3}{2\pi^2}$  Signal-to-quantization noise ratio

# of levels in Q

## Simulation of MOD1 (1)

- Output spectrum for full-scale sine-wave input:

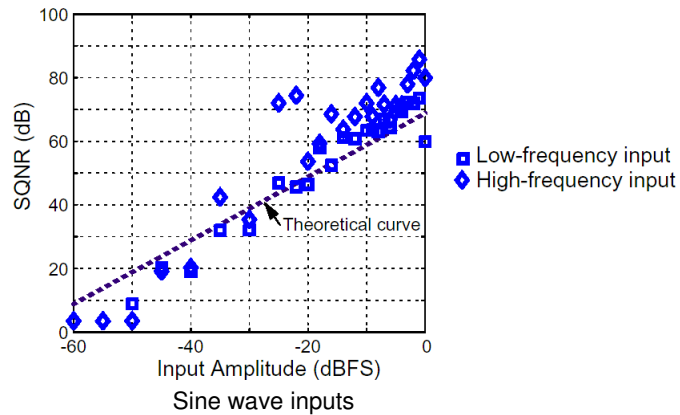


Looks ok, but SQNR 5 dB less than the formulaic.



## Simulation of MOD1 (2)

- SQNRs for different frequencies:



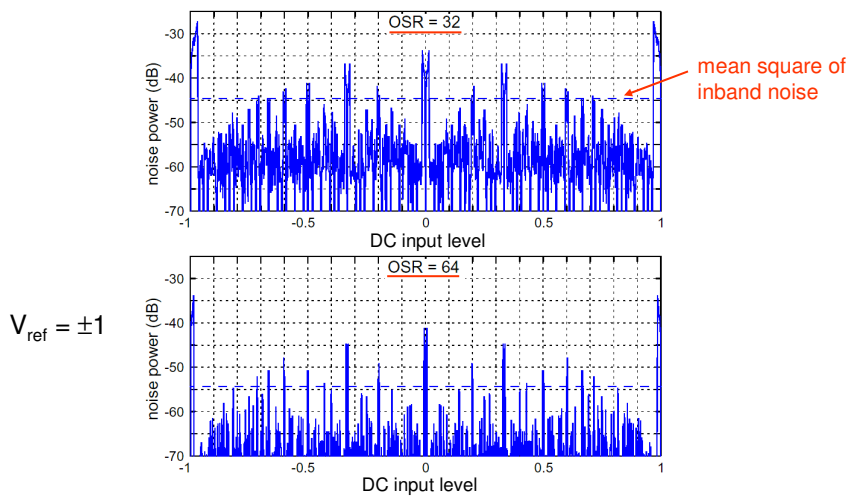
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## Simulation of MOD1 (3)

- In-band quantization noise power:



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## MOD1 Under DC Excitation (1)

- Idle tones:

$$y(n) = y(n-1) + u - v(n-1)$$

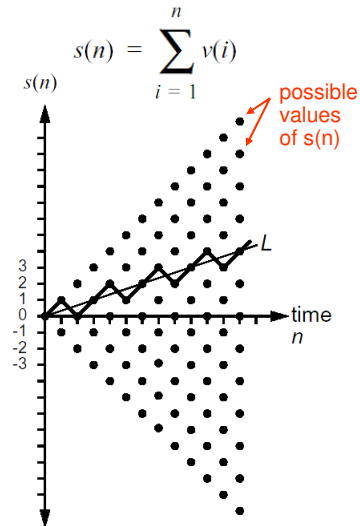
$$v(n) = \text{sgn}(y(n)).$$

$$y(n) = y(n-1) + u - \text{sgn}(y(n-1))$$

- $u = y(0) = 1/2$ :

$n$	0	1	2	3	4
$y(n)$	$1/2$	0	$-1/2$	1	$1/2$
$v(n)$	1	1	-1	1	1

- For  $u = 0.01$ , tones at  $k \cdot f_s / 200!$   
 $k = 1, 2, \dots$



## MOD1 Under DC Excitation (2)

- Let  $u = a/b$ ,  $a$  and  $b$  odd integers, and  $0 < a < b$ . Also, let  $|y(0)| < 1$ . Then, the output has a period  $b$  samples. In each period,  $v(n)$  will contain  $(b+a)/2$  samples of  $+1$ , and  $(b-a)/2$  samples of  $-1$ .
- If  $a$  or  $b$  is even, the period is  $2b$ , with  $(a+b)$   $+1$ s and  $(b-a)$   $-1$ s.
- If  $v(n)$  has a period  $p$ , with  $n$   $+1$ s and  $p-m$   $-1$ s, the average  $\bar{v} = (2m - p)/p$ . Hence,  $u = \bar{v}$  is also rational. Thus, rational dc  $u \Leftrightarrow$  periodic  $v(n)$ .
- Periodic  $v(n)$ : pattern noise, idle tone, limit cycle. Not instability!
- For  $u = 1/100$ , tones at  $k \cdot f_s / 200$ ,  $k = 1, 2, \dots$  some may be in the baseband. Often intolerable!

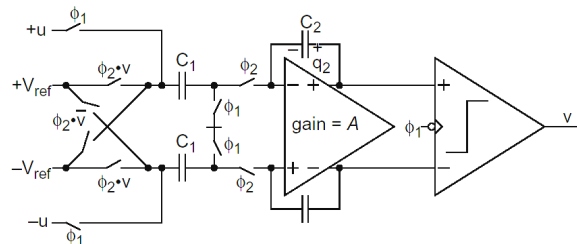
## Stability of MOD1

- MOD1 always stable as long as  $|u| \leq 1$ , and  $|y(0)| \leq 2$ :

$$y(n) = \underbrace{[y(n-1) - \text{sgn}(y(n-1))]}_{|[\ ]| \leq 1} + \underbrace{u(n)}_{\leq 1} \leq 2$$

- If  $u > 1$  (or  $u < -1$ ),  $v$  will always be  $+1$  (or  $-1$ )  $\Rightarrow y$  will increase (or decrease) indefinitely.
- If  $|u(n)| \leq 1$  but  $|y(0)| > 2$ , then  $|y(n)|$  will decrease to  $< 2$ . Output spectrum always a line spectrum for MOD1 with dc input (rational or not).

## The Effects of Finite Op-Amp Gain (1)

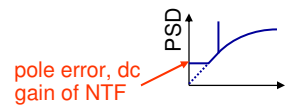


- Degraded noise shaping:

$$q_2(n) = q_2(n-1) + C_1 \left( u(n) - v(n-1) - \frac{q_2(n)}{C_2(A+1)} \right)$$

$$Y(z) = p \frac{zU(z) - V(z)}{z-p}$$

$$NTF(z) = 1 - pz^{-1} \rightarrow 1 - p = 1/A$$



## The Effects of Finite Op-Amp Gain (2)

- Dead zones:

$$\begin{aligned} \text{Ideally: } y(1) &= y(0) + u - \text{sgn}(y(0)) = u - 1 < 0 & v = -1 \\ y(2) &= u - 1 + u + 1 = 2u > 0 & +1 \\ y(3) &= 2u + u - 1 = 3u - 1 < 0 & -1 \end{aligned}$$

$$y(k) = \begin{cases} ku - 1, & \text{if } k \text{ is odd} \\ ku, & \text{if } k \text{ is even} \end{cases} \quad \text{for } u > 0, \text{ eventually } ku > 1 \text{ and two 1's occur.}$$

For  $A < \infty$ :  $y(n) = py(n-1) + u - \text{sgn}(y(n-1))$ ,  $p = 1 - 1/A$

$$\begin{aligned} y(1) &= y(0) + u - \text{sgn}(y(0)) = u - 1 < 0 & v = -1 \\ y(2) &= pu - p + u + 1 = (1+p)u + (1-p) > 0 & +1 \\ y(3) &= p(1+p)u + p(1-p) + u - 1 = (1+p+p^2)u - (1-p+p^2) < 0 & -1 \\ &\dots \\ y(k) &= \sum_{i=0}^{k-1} p^i u + (-1)^k \sum_{i=0}^{k-1} (-p)^i \end{aligned}$$

## The Effects of Finite Op-Amp Gain (3)

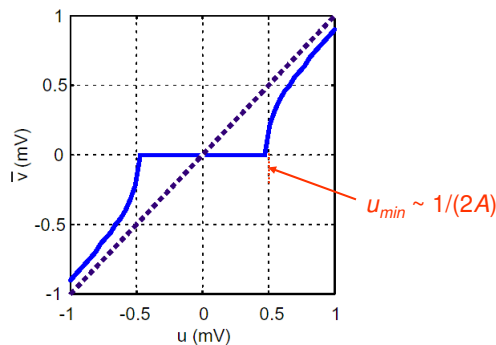
- For  $\bar{v} > 0$ ,

(Two 1's occurring)

$$\frac{u}{1-p} > \frac{1}{1+p}$$

$$u > \frac{1-p}{1+p} = \frac{1/A}{2-1/A} \approx \frac{1}{2A}$$

For  $A \approx 10^3$ :

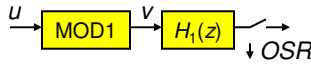


## Decimation Filters for MOD1 (1)

- The sinc filter:

Averaging over N samples (running-average)

$$w(n) = \frac{1}{N} \sum_{i=0}^{N-1} v(n-i)$$



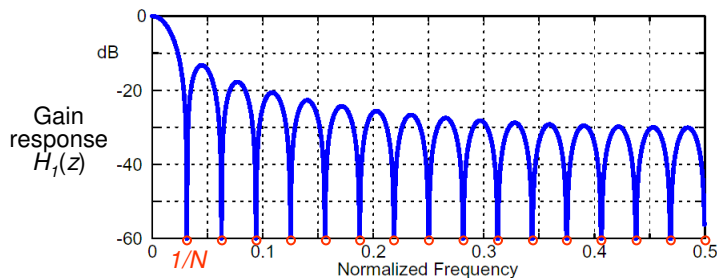
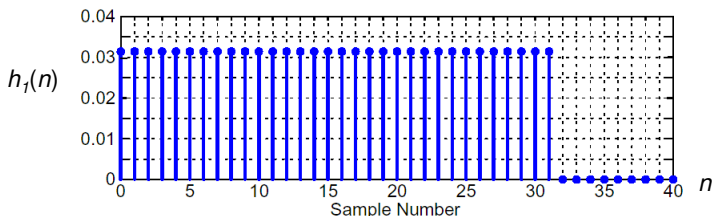
$$h_1(n) = \begin{cases} 1/N, & \text{if } (0 \leq n \leq N-1) \\ 0, & \text{otherwise} \end{cases}$$

$$H_1(z) = \frac{1}{N} \frac{1-z^{-N}}{1-z^{-1}}$$

$$H_1(e^{j2\pi f}) = \frac{\text{sinc}(Nf)}{\text{sinc}(f)} \quad \text{sinc}(f) \triangleq \frac{\sin(\pi f)}{\pi f}$$

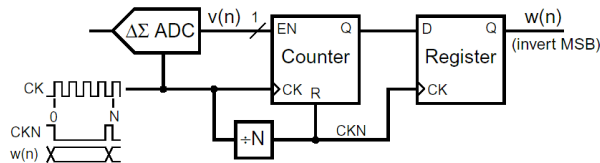
## Decimation Filters for MOD1 (2)

- Responses:



## Decimation Filters for MOD1 (3)

- Implementation:



$$Q_1(z) = H_1(z)NTF(z)E(z) = \frac{1}{N}(1 - z^{-N})E(z)$$

$$q_1(n) = \frac{1}{N}[e(n) - e(n - N)]$$

Assuming  $e(n)$  and  $e(n - N)$  are uncorrelated:

Inband noise after $H_1$ :	Inband noise before $H_1$ :
$\sigma_{q_1}^2 = \frac{2e_{rms}^2}{N^2}$	$\sigma_{q_0}^2 = \frac{\pi^2 \sigma_e^2}{3N^3}$
Total noise after $H_1$ ; Too much!	Total noise after ideal LPF; Much less than $\sigma_{q_1}^2$ !

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## Decimation Filters for MOD1 (4)

- The sinc<sup>2</sup> filter:

$$H_2(z) = \left[ \frac{(1 - z^{-N})}{N(1 - z^{-1})} \right]^2$$

$$H_2(e^{j2\pi f}) = \left( \frac{\text{sinc}(Nf)}{\text{sinc}(f)} \right)^2$$

$$Q_2(z) = NTF(z)H_2(z)E(z) = \frac{1}{N^2} \frac{(1 - z^{-N})}{(1 - z^{-1})} (1 - z^{-N})E(z) = \frac{1}{N} H_1(z) [(1 - z^{-N})E(z)]$$

$$q_2(n) = \frac{1}{N^2} \sum_{i=0}^{N-1} [e(n-i) - e(n-N-i)]$$

$$\sigma_{q_2}^2 = \frac{2N\sigma_e^2}{N^4} = \frac{2\sigma_e^2}{N^3}$$

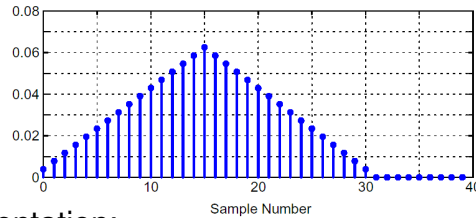
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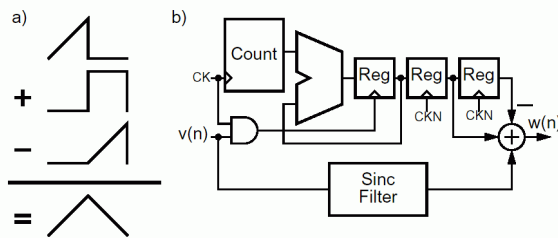
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## Decimation Filters for MOD1 (5)

- Response:



- Implementation:



## References

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9. J. C. Candy, "Decimation for sigma-delta modulation," *IEEE Transactions on Communications*, vol. 34, no. 1, pp. 72-76, January 1986.